

# PATENT COOPERATION TREATY

From the  
INTERNATIONAL SEARCHING AUTHORITY

# PCT

To:

see form PCT/ISA/220

## WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY (PCT Rule 43bis.1)

Date of mailing  
(day/month/year) see form PCT/ISA/210 (second sheet)

Applicant's or agent's file reference  
see form PCT/ISA/220

**FOR FURTHER ACTION**  
See paragraph 2 below

International application No.  
PCT/GB2004/004515

International filing date (day/month/year)  
27.10.2004

Priority date (day/month/year)  
28.10.2003

International Patent Classification (IPC) or both national classification and IPC  
G02F1/225

Applicant  
FILTRONIC PLC

1. This opinion contains indications relating to the following items:

- ☒ Box No. I Basis of the opinion
- ☐ Box No. II Priority
- ☒ Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- ☐ Box No. IV Lack of unity of invention
- ☒ Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- ☐ Box No. VI Certain documents cited
- ☐ Box No. VII Certain defects in the international application
- ☐ Box No. VIII Certain observations on the international application

2. **FURTHER ACTION**

If a demand for international preliminary examination is made, this opinion will usually be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA"). However, this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notified the International Bureau under Rule 66.1bis(b) that written opinions of this International Searching Authority will not be so considered.

If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of three months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later.

For further options, see Form PCT/ISA/220.

3. For further details, see notes to Form PCT/ISA/220.

Name and mailing address of the ISA:



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10/577500

**WRITTEN OPINION OF THE  
INTERNATIONAL SEARCHING AUTHORITY**

International application No.  
PCT/GB2004/004515

PP20030101/10\*27 APR 2006

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**Box No. I Basis of the opinion**

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1. With regard to the **language**, this opinion has been established on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.
  - ☐ This opinion has been established on the basis of a translation from the original language into the following language , which is the language of a translation furnished for the purposes of international search (under Rules 12.3 and 23.1(b)).
2. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application and necessary to the claimed invention, this opinion has been established on the basis of:
  - a. type of material:
    - ☐ a sequence listing
    - ☐ table(s) related to the sequence listing
  - b. format of material:
    - ☐ in written format
    - ☐ in computer readable form
  - c. time of filing/furnishing:
    - ☐ contained in the international application as filed.
    - ☐ filed together with the international application in computer readable form.
    - ☐ furnished subsequently to this Authority for the purposes of search.
3. ☐ In addition, in the case that more than one version or copy of a sequence listing and/or table relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
4. Additional comments:

**WRITTEN OPINION OF THE  
INTERNATIONAL SEARCHING AUTHORITY**

International application No.  
PCT/GB2004/004515

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**Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability**

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The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non obvious), or to be industrially applicable have not been examined in respect of:

- ☐ the entire international application,
- ☒ claims Nos. 13-16

because:

- ☐ the said international application, or the said claims Nos.      relate to the following subject matter which does not require an international preliminary examination (*specify*):
- ☐ the description, claims or drawings (*indicate particular elements below*) or said claims Nos.      are so unclear that no meaningful opinion could be formed (*specify*):
- ☐ the claims, or said claims Nos. are so inadequately supported by the description that no meaningful opinion could be formed.
- ☒ no international search report has been established for the whole application or for said claims Nos. 13-16
- ☐ the nucleotide and/or amino acid sequence listing does not comply with the standard provided for in Annex C of the Administrative Instructions in that:
  - the written form                      ☐ has not been furnished
  - ☐ does not comply with the standard
  - the computer readable form      ☐ has not been furnished
  - ☐ does not comply with the standard
- ☐ the tables related to the nucleotide and/or amino acid sequence listing, if in computer readable form only, do not comply with the technical requirements provided for in Annex C-*bis* of the Administrative Instructions.
- ☐ See separate sheet for further details

**WRITTEN OPINION OF THE  
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**Box No. V Reasoned statement under Rule 43*bis*.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

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**1. Statement**

Novelty (N)	Yes: Claims	1-12
	No: Claims	
Inventive step (IS)	Yes: Claims	1-12
	No: Claims	
Industrial applicability (IA)	Yes: Claims	1-12
	No: Claims	

**2. Citations and explanations**

**see separate sheet**

**WRITTEN OPINION OF THE  
INTERNATIONAL SEARCHING  
AUTHORITY (SEPARATE SHEET)**

International application No.

PCT/GB2004/004515

**Re Item III.**

Claims 13 to 16 have not been searched because it is mentioned in Rule 6.2(a) PCT that claims should not rely on reference to the description or drawings. As no meaningful search was possible, no opinion on the question of novelty and inventive step is formulated for claims 13 to 16.

**Re Item V.**

**1. REFERENCE DOCUMENT**

Reference is made to the following document:

D1 : DAGLI N: "WIDE-BANDWIDTH LASERS AND MODULATORS FOR RF PHOTONICS"  
IEEE TRANSACTIONS ON MICROWAVE THEORY AND TECHNIQUES, IEEE INC.  
NEW YORK, US, vol. 47, no. 7, July 1999 (1999-07), pages 1151-1171, XP000846019  
ISSN: 0018-9480.

**2. INDEPENDENT CLAIM 1**

**2.1.** Document D1, which is considered to represent the most relevant state of the art, discloses (the references in parentheses applying to this document) a coplanar waveguide line (CPS, page 1163, right column) comprising:

- a substrate (semi-insulating GaAs, page 1163, right column);
- a first and a second electrode strips extending parallel thereto (figure 15);
- first and second optical waveguides on the substrate (figure 15), the optical waveguides being positioned between the first and second electrode strips and extending parallel thereto (figure 15);
- first and second electrodes each comprising at least one T-rail extending proximate to respectively first and second optical waveguides (figure 15);
- the substrate comprising an n+ electrically conducting layer (buried n+ layer, page 1163, right

column) extending between the optical waveguides (figure 15).

**2.2.** From this, the subject-matter of independent claim 1 differs in that said coplanar waveguide line comprises:

- a third electrode strip on opposite side of the first electrode strip with respect to the second electrode strip (the second electrode strip being the central electrode);
- an electrical connection between first and third electrode strips.

**2.3.** The subject-matter of claim 1 is therefore novel (Article 33(2) PCT).

**2.4.** The problem to be solved by the present invention may be regarded as how to reduce microwave loss propagating over the coplanar line.

**2.5.** The solution to this problem proposed in claim 1 of the present application is considered as involving an inventive step (Article 33(3) PCT) because there is no indication in D1 that would drive the person skilled in the art to add a third electrode for solving problems of microwave loss.

### **3. DEPENDENT CLAIMS 2 TO 12**

Claims 2-12 are dependent on claim 1 and as such also meet the requirements of the PCT with respect to novelty and inventive step.